NMLS Annual Conference and Training - WORKING AGENDA

The Art of Enforcement
Following up on last year's informative and entertaining “Art of the Exam,” state and federal regulators and industry representatives will walk through a mock examination exit interview with a non-depository financial services licensee, and illustrate the subsequent follow-up steps through to final resolution.

NMLS 2.0 – What Will the New NMLS Look Like?
Discover what is being contemplated for NMLS 2.0 and have the opportunity to provide input on how it should work for industry.

Cybersecurity Assessment
The Federal Financial Institutions Examination Council (FFIEC) recently issued a Cybersecurity Assessment Tool to help institutions' management identify risks and determine their cybersecurity preparedness. This session will provide an overview of the tool and the broader issues of how state regulators are working to integrate best practices as part of their regulatory oversight.

Electronic Surety Bonds (ESB) in Action
Hear from regulators and industry, including representatives from the surety industry, on their experiences with NMLS and discuss future changes to make the System and ESB tracking even better. Discussion will include best practices currently in use by surety companies and surety bond producers on NMLS.

Communication Trends in the Financial Services Industry
Communicating and advertising in the financial services industry is a continuous challenge. Come hear communications professionals and state regulators discuss interactions between state regulations, advertisement, solicitations, and the use of social media.

NMLS Data and Data Analytics Tools
The NMLS Data Analytics team works closely with state regulators to develop tools enabling regulators to better view and analyze both internal licensing processes and data derived from the Mortgage Call Report. Come discuss the methodology used in the development of those tools, what new types of data might be assessed next, and what other types of information may be available such as the B2B information derived from NMLS Consumer Access.

Note: Working agenda is subject to change.
Last updated: 12/07/2016
Perspectives on State Agency Accreditation

CSBS and AARMR sponsor a comprehensive Mortgage Accreditation Program, which is specifically noted in the SAFE Act, to enhance the professionalism of State Banking Department’s Mortgage Divisions and their personnel. Join a representative from the CSBS Performance Standards Committee, the Accreditation Review Team, and a recently accredited state agency to hear insight into the benefits and challenges of accreditation.

Exam Preparation Boot Camp

This session will focus on using NMLS data to prepare and scope out a non-depository exam. Regulators will provide insight into how NMLS data is used within the exam process.

Mortgage Call Report – Open Forum

Don’t know what regulators expect on the MCR? Still confused what constitutes an application? Do you think you are a correspondent lender and not sure how to report your loans? How is mortgage servicing being reported? Attend this interactive session with the Mortgage Call Report Working Group to pose your questions, learn how state regulators expect you to complete the MCR and discuss upcoming proposed changes to the MCR.

State Licensing of Foreign Entities

Come hear from state regulators and industry practitioners regarding existing impediments to granting licenses to foreign companies and individuals and what steps can be taken to find solutions to those issues through NMLS and other information sources.

Mortgage Testing and Education Update

This session will provide an update on the general status of NMLS mortgage testing and education programs. During the session, discussion will take place surrounding new initiatives and the impact on how industry will go about preparing for the SAFE MLO Test and completing education requirements.

Using Compliance Software in Today’s Mortgage Exam

A major pain point in today’s examination process is the inability for Loan Origination Systems (LOS) to produce all data needed to comply with examination information requests. This session will take a deep dive into the process of using compliance software and a conversation on common exam issues and trends.

MSB Call Report – Open Forum

This session will serve as a Q&A opportunity for industry regarding initial questions related to the submission of the MSB Call Report in NMLS. Throughout the session, questions will be answered related to different scenarios for data entry in the report and what regulators expect to see for certain data fields.

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Debt Collection Issues
Learn about the trends that are occurring in the collection of consumer debt and how regulators are responding to them.

NMLS Best Practices
Regulator-Only
A training session for regulators on the best practices for using NMLS to process applications, ensure compliance, and manage work.

FHA VA Update
During this session, discover the issues that the FHA and VA are facing as they audit approved lenders and how to avoid the pitfalls in your organization.

NMLS Data Security
Come listen to a panel of experts regarding how CSBS manages NMLS data including compliance with federal requirements, a description of the sensitive information housed in NMLS, and how it is protected.

State and Federal Legislative and Regulatory Update
Hear about upcoming and current state and federal legislative and regulatory issues in the non-depository financial services arena and what issues are keeping the compliance experts up at night.

Federal Trade Commission Update
Discover what the top financial industry issues are at the FTC.

NMLS Data Analytics for Agency Managers
Regulator-Only
A training session for agency managers and supervisors on the NMLS Data Analytics tools that are available to help analyze work processes and agency activity, including the Manager's Packet and Work Item Analytics.

Electronic Surety Bond Training
A training session for state-licensed companies on the Electronic Surety Bond (ESB) process. This session, intended for Account Administrators, will provide an overview of the ESB functionality in NMLS, including bond creation and bond management processes, a demonstration of the new functionality, and the resources available on the System and NMLS Resource Center.

New User Training: MU1, MU2, MU3, Financial Statements
A training session for new or moderately experienced users of state-licensed companies, focusing on the best practices in completion of the Company (MU1), Individual (MU2), and Branch (MU3) forms and Financial Statements. This one day session will be the perfect primer for both new users and those that want a refresher on how to become state-licensed. If you are new to the NMLS Annual Conference and Training, this session will help prepare you to better understand the sessions offered.

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throughout the Conference.

**MSB Call Report Training**
A training session for regulators and companies in the Money Services Businesses (MSB) industry on the newly-developed MSB Call Report, due in participating state agencies on April 1, 2017.

**New User Training: Managing MLO Licenses and Professional Standards**
A session for new or moderately experienced users of state-licensed mortgage companies who manage or support mortgage loan originators in license application and management. The session will focus on how to satisfy the professional standards mandated by the SAFE Act for licensure, completing the Individual Form (MU4), and record maintenance.

**NMLS Power-User Best Practices**
Learn tips and tricks from other System power-users related to license applications, management, and renewals. During this training session, learn how others manage their NMLS records and ideas for being more efficient.

**Reviewing a CBC**
A training session for regulators and companies on understanding the criminal history record information including a review of general format and coding. This in-depth, classroom style session, will be conducted by an FBI trainer and take-home materials will be provided.

**Regulator Resources**
**Regulator-only**
A training session for regulators to gain an understanding of the resources available to them via the NMLS Regulator Resource Center, Learning Management System, and Document Worksite. Topics will include: LSFS, Accepting Address Exceptions, Checklist Conversion, License Type Changes, Unidentified Respondents, etc.

**NMLS Education Provider Workshop**
Attend the workshop aimed at both new and experience NMLS education course providers. Participants will be able to exchange ideas and learn new trends happening in the delivery of online courses.

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