



Annual Conference and Training

Company Filing Summary of Changes

Enhancements to NMLS in Release 2012.2 incorporates changes to the filing forms and the expansion of the system to accommodate the management of additional non-depository license types. The purpose of the updated forms is to provide better information to state regulators and make improvements in the use of NMLS to support these changes.

Company Filing

The Company Filing screen previously known as Pending Filing, allows the user to create a new request for a license, or amend or edit an existing company record.

From this screen, the user can also view the latest filing submitted by their company through the Historical Filing section on the navigation panel.

1. Click Create New Filing to proceed to Business Activities.

Company Filing

You can create a new request or amend/edit your existing record from this page.

AVAILABLE ACTIONS	FUNCTION
Create New Filing	Request a new license, transition an existing license or make amendments to your company record.
Complete and submit the pending filing.	Complete and submit the pending filing.
Delete the pending filing, including any pending changes.	Delete the pending filing, including any pending changes.
View Latest Filing	Review the most recent MU1 (Company) filing submitted by your company.

NOTE: Each time your company submits a completed filing, that filing will be stored as the company's record for subsequent filings.

There are no pending filings. Use the button below to create a new filing.

[Create New Filing](#) [View Latest Filing](#)

Business Activities

The Business Activities landing page introduces new navigation that allows the user to:

- Add a Business Activity (navigate to new Business Activities section)
- Add a State (navigate to Identifying info)
- Request a License (navigate to License/Registration section)
- Manage Licenses (navigate to Business Activities)

A Business Activity must be added before other options will become available.

1. Click Add Activity

The screenshot shows the 'Business Activities' page for 'The Hills Mortgage Company (847428) MU1 filing created 2/3/2012 by ldonisP'. The page has a sidebar with navigation links and a main content area. The sidebar includes links like 'Request License', 'License/Registration Information', 'Identifying Information', 'Other Trade Names', 'Resident/Registered Agent', 'Web Addresses', 'Contact Employees', 'Books and Records Information', 'Approvals and Designations', 'Bank Accounts', 'Legal Status', 'Affiliates/Subsidiaries', 'Financial Institutions', 'Disclosure Questions', 'Disclosure Explanations', 'Direct Owners and Executive Officers', 'Indirect Owners', 'Qualifying Individuals', 'Document Uploads', 'MU2 Forms', and 'Completeness Check and Submit'. The main content area has a title 'Business Activities' and a sub-header 'The Hills Mortgage Company (847428) MU1 filing created 2/3/2012 by ldonisP'. Below this is a 'Total Charges: \$0.00' indicator. A paragraph of information follows, stating that all business activities must be identified in this section. Below the paragraph is a table with two columns: 'AVAILABLE ACTIONS' and 'FUNCTION'. The table lists five actions: 'Add Activity', 'Add State', 'Request License', 'Remove License', and 'Continue with Filing', each with a corresponding function description. Below the table is a section titled 'Perform an action from the list below:' with three options: 'Add or remove states associated to the corresponding business activity.', 'Remove the corresponding business activity and associated states from the filing.', and 'State View a list of business activities for the selected state.' Below this is a paragraph stating 'Below is a list of all business activities that already have been identified for your company.' followed by a table with three columns: 'Business Activity', 'Industry', and 'State(s)'. The table lists four activities: 'Consumer loan brokering', 'Consumer Finance', 'First mortgage lending', and 'Mortgage', each with a list of states: 'Maryland, Rhode Island, Vermont, Virginia'. At the bottom of the page are five buttons: 'Add Activity', 'Add State', 'Request License', 'Remove License', and 'Continue with Filing'.

You are currently: State

Business Activities

The Hills Mortgage Company (847428) MU1 filing created 2/3/2012 by ldonisP. Total Charges: \$0.00

Information regarding **all** business activities conducted by the company, including any activity associated with a new license/registration request, must be identified in this section. This may include business activity for which the company is not specifically seeking licensing authority in NMLS. **FIRST-TIME FILERS** must select **Add Activity** and provide information regarding the company's business activities before other options will be available.

AVAILABLE ACTIONS	FUNCTION
Add Activity	Identify business activities conducted by the company that are not currently identified in NMLS.
Add State	Add one or more states to all existing business activities in one step.
Request License	Select license/registrations to apply for or transition on NMLS.
Remove License	Cancel an un-submitted license/registration request, withdraw a pending application or surrender an existing license/registration.
Continue with Filing	Navigate to the next section of this filing to provide additional required information.

Perform an action from the list below:

- ☐ Add or remove states associated to the corresponding business activity.
- ☒ Remove the corresponding business activity and associated states from the filing.
- [State](#) View a list of business activities for the selected state.

Below is a list of all business activities that already have been identified for your company.

Business Activity	Industry	State(s)
☒		Maryland , Rhode Island , Vermont , Virginia
☒		Maryland , Rhode Island , Vermont , Virginia
☒ Consumer loan brokering	Consumer Finance	Maryland , Rhode Island , Vermont , Virginia
☒ First mortgage lending	Mortgage	Maryland , Rhode Island , Vermont , Virginia

2. Select a Business Activity. All industries old and new to NMLS are listed on this page.

You are currently: State

Business Activities

- Request License
- License/Registration Information
- Identifying Information
- Other Trade Names
- Resident/Registered Agent
- Web Addresses
- Contact Employees
- Books and Records Information
- Approvals and Designations
- Bank Accounts
- Legal Status
- Affiliates/Subsidiaries
- Financial Institutions
- Disclosure Questions
- Disclosure Explanations
- Direct Owners and Executive Officers
- Indirect Owners
- Qualifying Individuals
- Document Uploads
- MU2 Forms
- Completeness Check and Submit

Business Activity Wizard

The Hills Mortgage Company (1013) MU1 filing created 1/23/2012 by GrantV4. Total Charges: \$0.00

Select **all** business activities conducted by your company, including business activities for which a license request is being submitted or for which your company is not specifically seeking licensing authority in NMLS, from the list below. Only business activities not previously selected will appear in the list below. Click **i** on the upper right sandbar for business activity descriptions.

Mortgage	Consumer Finance
☐ High cost home loans	☒ Consumer Loan Servicing
☐ Home Equity Loans, including lines of credit	☐ Non real estate secured consumer loans
☐ Reverse Mortgage Loans	☐ Title Lenders
☐ Second Mortgage Lending	
☐ Second Mortgage Loan Brokering	
☐ Third Party First Mortgage Servicing	
Debt-Related Industries	Money Services Business
☐ Loan Modifications	☒ Issuer of traveler's checks
☐ Short sale	☐ Redeemer of money orders
☐ Third Party Collection	☐ Redeemer of traveler's checks
	☐ Seller of money orders
	☐ Seller of traveler's checks

[Next](#) [Cancel](#)

3. Select a State.

You are currently: State

Business Activities

- Request License
- License/Registration Information
- Identifying Information
- Other Trade Names
- Resident/Registered Agent
- Web Addresses
- Contact Employees
- Books and Records Information
- Approvals and Designations
- Bank Accounts
- Legal Status
- Affiliates/Subsidiaries
- Financial Institutions
- Disclosure Questions
- Disclosure Explanations
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Business Activity Wizard

The Hills Mortgage Company (1013) MU1 filing created 1/23/2012 by GrantV4. Total Charges: \$0.00

Select the state(s) where the business activities identified on the previous screen currently are conducted by your company or will be conducted upon approval of pending or new license/registration request(s) and click **Next**. If you conduct most, but not all, selected activities in a given state you can select the state on this page and will have the opportunity to de-select the state from specific activities in the next step.

Click **Previous** to return to the business activities screen to adjust your selections or **Cancel** to discard your previous selections and return to the Business Activities page.

[select all](#) | [deselect all](#)

☐ Alabama	☒ Illinois	☐ Nebraska	☐ South Carolina
☐ Alaska	☐ Indiana	☐ Nevada	☐ South Dakota
☐ Arizona	☐ Iowa	☐ New Hampshire	☐ Tennessee
☐ Arkansas	☐ Kansas	☒ New Jersey	☐ Texas
☐ California	☐ Kentucky	☐ New Mexico	☐ US Virgin Islands
☐ Colorado	☐ Louisiana	☐ New York	☐ Utah
☐ Connecticut	☐ Maine	☐ North Carolina	☒ Vermont
☐ Delaware	☐ Maryland	☐ North Dakota	☐ Virginia
☐ District of Columbia	☐ Massachusetts	☐ Ohio	☐ Washington
☐ Florida	☐ Michigan	☐ Oklahoma	☐ West Virginia
☐ Georgia	☐ Minnesota	☐ Oregon	☐ Wisconsin
☐ Guam	☐ Mississippi	☐ Pennsylvania	☐ Wyoming
☐ Hawaii	☐ Missouri	☐ Puerto Rico	
☐ Idaho	☐ Montana	☐ Rhode Island	

[Previous](#) [Next](#) [Cancel](#)

4. Confirm the Business Activities selected and their corresponding States.

The screenshot shows the 'Business Activity Wizard' interface. On the left is a sidebar with a 'You are currently:' dropdown set to 'State' and a list of menu items: Business Activities (highlighted), Request License, License/Registration Information, Identifying Information, Other Trade Names, Resident/Registered Agent, Web Addresses, Contact Employees, Books and Records Information, Approvals and Designations, Bank Accounts, Legal Status, Affiliates/Subsidiaries, and Financial Institutions. The main content area is titled 'Business Activity Wizard' and shows 'The Hills Mortgage Company (1013) MU1 filing created 1/23/2012 by GrantV4.' with a 'Total Charges: \$0.00' box. Below this, a message states: 'NMLS has associated your recently added business activities and states below. If you do not conduct all business activities listed in all of the selected states, you may de-select states from the corresponding business activities on this page. Once the business activities and associated states below reflect the additions you want to make to your filing, click **Next**.' Instructions follow: 'Click **Previous** to return to the state screen if you selected a state in error or **Cancel** to discard your previous selections and return to the Business Activities page.' A table lists the selected activities and states:

Business Activity	Industry	State(s)
Consumer Loan Servicing	Consumer Finance	☒ Illinois ☒ Vermont
Issuer of traveler's checks	Money Services Business	☒ Illinois ☒ Vermont

At the bottom are buttons for 'Previous', 'Next', and 'Cancel'.

5a. If the summary is correct, click Save & Request License to select licenses/registrations with this filing.

or

5b. Click Save & Continue with Filing to navigate to the Identifying Information page to complete and/or amend information on your record before submitting to your state regulator.

This screenshot shows the 'Business Activity Wizard' at the summary stage. The sidebar is identical to the previous screen. The main content area shows the same filing information and a 'Total Charges: \$0.00' box. A message states: 'Below is a summary of business activities and associated states you have identified through the Business Activity Wizard.' It then displays a summary table:

Business Activity	Industry	State(s)
Consumer Loan Servicing	Consumer Finance	Illinois, Vermont
Issuer of traveler's checks	Money Services Business	Illinois, Vermont

Below the table, instructions are provided: 'If this summary is correct, click **Save & Request License** to select licenses/registrations with this filing or click **Save & Continue with Filing** to navigate to the Identifying Information page to complete and/or amend information on your record before submitting to your state regulators. If this summary is not correct, click **Previous** to return to the preceding pages and make the appropriate changes.' At the bottom are buttons for 'Previous', 'Save & Request License', 'Save & Continue with Filing', and 'Cancel'. Two arrows point to the 'Save & Request License' and 'Save & Continue with Filing' buttons.

Request a License

The new Request /Transition License(s) page allows the user to request available licenses and/or transition any existing licenses by providing the existing license(s) number.

1. Select the license/registration you wish to transition or select the new license(s) you wish to submit a License Request.

Request/Transition License(s)

The Hills Mortgage Company (1013) MU1 filing created 1/23/2012 by GrantV4. Total Charges: \$0.00

Below are company licenses/registrations available for request or transition based on the business activities and states identified in the Business Activities section. If your company currently holds any of the licenses/registrations being requested and is transitioning the license(s)/registration(s) onto NMLS, enter the license or registration number(s), exactly as provided to you by your regulator, in the space provided. FAILURE TO DO SO MAY RESULT IN YOUR COMPANY BEING CHARGED NEW APPLICATION FEES.

If you do not see the license/registration you are seeking to transition or apply for below, confirm the license/registration is being managed by your regulator in NMLS and there is not a license/transition request for the license/registration pending with this filing. In addition, you may return to the Business Activities section and make the appropriate adjustments so the section reflects all business activities conducted by your company by state.

Please select the license(s) and/or registration(s) you wish to transition or new license/registration application(s) you wish to submit and click **Next**.

[Expand all](#) | [Collapse all](#)

State	License Number
Minnesota	
☒ Residential Mortgage Originator Exemption Other Trade Name #2	
☒ Residential Mortgage Originator Exemption Other Trade Name #3	
☐ Residential Mortgage Originator Exemption Other Trade Name #4	
☐ Residential Mortgage Originator Exemption Other Trade Name #5	
☐ Residential Mortgage Originator Exemption Other Trade Name #6	
Rhode Island	
☐ Loan Broker License	
Vermont	
☐ Commercial Lender License Other Trade Name #2	
☐ Commercial Lender License Other Trade Name #3	

2. Confirm the license/registration you selected to request or transition.

Request/Transition License(s)

The Hills Mortgage Company (1013) MU1 filing created 1/23/2012 by GrantV4. Total Charges: \$0.00

I It is important that you consult the state licensing requirements for each license in order to determine your company qualifies and you are identifying the correct license for the activity your company wishes to conduct in each state. Select the state from the following list to view the requirements:

- Minnesota

Below is a list of licenses/registrations you selected to request or transition.

- Minnesota - Residential Mortgage Originator Exemption Other Trade Name #2
- Minnesota - Residential Mortgage Originator Exemption Other Trade Name #3

If correct, click **Save & Continue with Filing** to continue with the filing. You will be taken to the Identifying Information page and can complete and/or amend information about your record before submitting to your state regulators.

If the information is not correct, click **Previous**

[Previous](#) [Save & Continue with Filing](#) [Cancel](#)

[Previous](#) [Next](#)

License/Registration Information

New navigation is introduced with the addition of the Request License button and the Provide Required Information button.

You are currently:

★ State ▼

- Business Activities
- Request License
- License/Registration Information**
- Identifying Information
- Other Trade Names
- Resident/Registered Agent
- Web Addresses
- Contact Employees
- Books and Records Information
- Approvals and Designations
- Bank Accounts
- Legal Status
- Affiliates/Subsidiaries
- Financial Institutions
- Disclosure Questions
- Disclosure Explanations
- Direct Owners and Executive Officers
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- Completeness Check and Submit

License/Registration Information

The Hills Mortgage Company (1013) MU1 filing created 1/24/2012 by GrantV4.

Total Charges: \$0.00

Below are a list of Requests Pending Submission and a list of Submitted License/Registration Requests being managed in NMLS for your company. If you have questions about the types of licenses you need to apply for, see the [State License Requirements on the NMLS Resource Center](#).

Select license/registration(s) to apply for or to transition onto NMLS.

Go to Identifying Information to provide information required to complete your filing.

Requests Pending Submission

The list below reflects license, transition, surrender and/or withdrawal requests that will be submitted in connection with this pending filing. A filing is not complete until you have successfully navigated through the Completeness Check and Submit screen, attested and paid for your submission. If you wish to cancel a request, click the Cancel Action button.

Regulator	License	Current Status	License Number	Pending Action	Available Action
Minnesota	Residential Mortgage Originator Exemption Other Trade Name #2			License Requested	Cancel Action
Minnesota	Residential Mortgage Originator Exemption Other Trade Name #3			License Requested	Cancel Action

Submitted License/Registration Requests

Below is a list of the license/registration requests submitted to the regulator and managed in NMLS for your company. If you wish to surrender, withdraw or cancel a license/registration or application request, click the icon in the Available Action column and proceed to the Completeness Check and Submit screen to attest and submit the filing. To understand the current status, see the [License Status Definitions document](#).

Regulator	License	Current Status	License Number	Available Action
District of Columbia	Mortgage Dual Authority License	Approved	MLB2921	Surrender
Georgia	Mortgage Lender License	Approved	16579	Surrender
Maryland	Mortgage Lender License	Approved	5104	Surrender
South Carolina-BFI	Mortgage Lender / Servicer License	Approved	MLS - 2921	Surrender
West Virginia	Mortgage Broker License	Approved	MB-21645	Surrender
Minnesota	Residential Mortgage Originator Exemption	Pending - Incomplete		Withdraw
Minnesota	Residential Mortgage Originator Exemption Other Trade Name #1	Pending - Incomplete		Withdraw
Rhode Island	Exempt Company Registration	Pending - Incomplete		Withdraw
Rhode Island	Lender License	Pending - Incomplete		Withdraw
Vermont	Commercial Lender License	Pending - Incomplete		Withdraw
Vermont	Commercial Lender License Other Trade Name #1	Pending - Incomplete		Withdraw

Other Trade Names

The selection of an Industry Type(s) along with the State is now required.

Complete and Submit

Name:

Industry Type(s): ☐ Mortgage ☐ Debt-Related Industries ☐ Consumer Finance ☐ Money Services Business

State	Forced Name?	State	Forced Name?
☐ Alabama	☐	☐ Montana	☐
☐ Alaska	☐	☐ Nebraska	☐
☐ Arizona	☐	☐ Nevada	☐
☐ Arkansas	☐	☐ New Hampshire	☐
☐ California	☐	☐ New Jersey	☐
☐ Colorado	☐	☐ New Mexico	☐
☐ Connecticut	☐	☐ New York	☐
☐ Delaware	☐	☐ North Carolina	☐
☐ District of Columbia	☐	☐ North Dakota	☐
☐ Florida	☐	☐ Ohio	☐
☐ Georgia	☐	☐ Oklahoma	☐
☐ Guam	☐	☐ Oregon	☐
☐ Hawaii	☐	☐ Pennsylvania	☐
☐ Idaho	☐	☐ Puerto Rico	☐
☒ Illinois	☐	☐ Rhode Island	☐
☐ Indiana	☐	☐ South Carolina	☐
☐ Iowa	☐	☐ South Dakota	☐
☐ Kansas	☐	☐ Tennessee	☐
☐ Kentucky	☐	☐ Texas	☐
☐ Louisiana	☐	☐ US Virgin Islands	☐
☐ Maine	☐	☐ Utah	☐
☐ Maryland	☐	☐ Vermont	☐
☐ Massachusetts	☐	☐ Virginia	☐
☐ Michigan	☐	☐ Washington	☐
☐ Minnesota	☐	☐ West Virginia	☐
☐ Mississippi	☐	☐ Wisconsin	☐
☐ Missouri	☐	☐ Wyoming	☐

Web Address

Along with adding a web address, companies will now indicate if they are accepting applications or transacting business via their company website.

You are currently:

Business Activities

Request License

License/Registration Information

Identifying Information

Other Trade Names

Resident/Registered Agent

Web Addresses

Contact Employees

Books and Records Information

Web Addresses

The Hills Mortgage Company (1013) MU1 filing created 1/24/2012 by GrantV4.

Total Charges: \$0.00

Website Address:

Is your company accepting applications or transacting business through this website? ☐ Yes ☐ No

Books and Records

The selection of an Industry Type(s) along with the State is now required.

You are currently: **State**

Business Activities
Request License
License/Registration Information
Identifying Information
Other Trade Names
Resident/Registered Agent
Web Addresses
Contact Employees
Books and Records Information
Approvals and Designations
Bank Accounts
Legal Status
Affiliates/Subsidiaries
Financial Institutions
Disclosure Questions
Disclosure Explanations
Direct Owners and Executive Officers
Indirect Owners
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MU2 Forms
Completeness Check and Submit

Books and Records Information

The Hills Mortgage Company (1013) MU1 filing created 1/24/2012 by GrantV4. Total Charges: \$0.00

Identify the jurisdictions for which this record custodian maintains records for the company. If multiple custodians maintain records for the company, use the **Comments** field to indicate the types of records each custodian maintains.

Company:
First Name:
Last Name:
[Copy](#) [Main Address](#)

Business Address:
(Do not provide PO Box)
City:
State:
Country / Province:
Postal Code:
Business Phone: 999-999-9999
Fax Line: 999-999-9999
Email Address:

Industry Type(s): ☐ Mortgage ☒ Debt-Related Industries
☐ Consumer Finance ☐ Money Services Business

State(s): [select all](#) [deselect all](#)

☐ Alabama	☒ Illinois	☐ Nebraska	☐ South Carolina
☐ Alaska	☐ Indiana	☐ Nevada	☐ South Dakota
☐ Arizona	☐ Iowa	☐ New Hampshire	☐ Tennessee
☐ Arkansas	☐ Kansas	☐ New Jersey	☐ Texas
☐ California	☐ Kentucky	☐ New Mexico	☐ US Virgin Islands
☐ Colorado	☐ Louisiana	☐ New York	☐ Utah
☐ Connecticut	☐ Maine	☐ North Carolina	☐ Vermont
☐ Delaware	☐ Maryland	☐ North Dakota	☐ Virginia
☐ District of Columbia	☐ Massachusetts	☐ Ohio	☐ Washington
☐ Florida	☐ Michigan	☐ Oklahoma	☐ West Virginia
☐ Georgia	☐ Minnesota	☐ Oregon	☐ Wisconsin
☐ Guam	☐ Mississippi	☐ Pennsylvania	☐ Wyoming
☐ Hawaii	☐ Missouri	☐ Puerto Rico	
☐ Idaho	☐ Montana	☐ Rhode Island	

Comments:
(Please limit your text entry to 512 characters.)

[Save](#) [Cancel](#)

Approvals and Designations

Approvals and Designations was previously known as Other Business. The new sections introduce additional Approval and Designation Types, with the ability to add a required identification number to each selection.

You are currently: ★ State

Business Activities
Request License
License/Registration Information
Identifying Information
Other Trade Names
Resident/Registered Agent
Web Addresses
Contact Employees
Books and Records Information
Approvals and Designations
Bank Accounts
Legal Status
Affiliates/Subsidiaries
Financial Institutions
Disclosure Questions
Disclosure Explanations
Direct Owners and Executive Officers
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Approvals and Designations

The Hills Mortgage Company(1013) MU1 filing created 1/24/2012 by GrantV4. Total Charges: \$0.00

Provide the information below for any approvals/designations the company currently holds.

☒ Federal Housing Administration (FHA) Approval
Approval Type: Government Lender
Main Approval #:

☒ Ginnie Mae approved Issuer/Service - Main Approval #:

☒ Fannie Mae approved Seller/Service - Main Approval #:

☒ Freddie Mac approved Seller/Service - Main Approval #:

☒ Veterans Administration (VA) Approved Lender - Main Approval #:

☐ FinCEN Registration - Money Service Business only
Confirmation #:
Filing Date:

☐ Uniform Debt-Management Services Act Accreditation

☐ Guaranteed Rural Housing (GRH) Approval - Main Approval #:

☐ Other Approval/Designation
Name of Approval/Designation:
Approval/Registration #:

Will the entity engage in any non-financial services related business?
☒ Yes ☐ No

If "yes" briefly describe.

Will the entity occupy or share space with any person(s) engaged in financial services-related activity?
☐ Yes ☒ No

If "yes" briefly describe.

Save

Legal Status

Not for Profit Corporations will now have to report their Legal Status.

The screenshot shows the 'Legal Status' form for 'The Hills Mortgage Company (1013) MU1 filing created 1/24/2012 by GrantV4'. The form includes fields for Fiscal year end (12/31), Formation State (Virginia), Formation Country / Province (United States), and Date of formation (11/12/1997). It also has a section for 'Indicate legal status' with radio buttons for Corporation, Limited Liability Company, Not For Profit Corporation (selected), Partnership, Sole Proprietorship, and Other. A 'Save' button is at the bottom right. A sidebar on the left lists various menu items, with 'Legal Status' highlighted.

Disclosure Questions

Several of the Disclosure Questions have been updated.

The following is a list of all sections included in Disclosure Questions.

- Criminal Disclosure
- Regulatory Action
- Civil Disclosure
- Financial Disclosure

All Disclosure Questions must be answered.

The screenshot shows the 'Disclosure Questions' form for 'The Hills Mortgage Company (1013) MU1 filing created 1/24/2012 by GrantV4'. The form is divided into three main sections: Criminal Disclosure, Regulatory Action Disclosure, and Civil Disclosure. Each section contains multiple questions with 'Yes' and 'No' radio button options. An arrow points to the 'No' option for question (1) in the Criminal Disclosure section. A sidebar on the left lists various menu items, with 'Disclosure Questions' highlighted.

Bank Accounts

Bank Account Information is a section allowing the company to provide bank account information for the corresponding Industry Type, and State selected.

you are currently: State

Business Activities
Request License
License/Registration Information
Identifying Information
Other Trade Names
Resident/Registered Agent
Web Addresses
Contact Employees
Books and Records Information
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Bank Account Information

The Hills Mortgage Company (1013) MU1 filing created 1/24/2012 by GrantV4. Total Charges: \$0.00

Provide the information requested below as required for each bank account, including applicable Industry Type(s) and State(s), and click **Save**. Click **Delete** to remove the bank account information.

Account Type: ☐ Letter/Line of Credit
☐ Operating
☐ Trust/Primary

Amount of Letter/Line of Credit:

Letter/Line of Credit Expiration Date:
(MM/DD/YYYY)

Bank Address and Account Number

Name:

PO Box or Number & Street:

City:

State:

Country / Province:

Postal Code:

Account Number:

Notes:

Industry Type(s): ☐ Mortgage ☒ Debt-Related Industries
☐ Consumer Finance ☐ Money Services Business

State(s): ☐ Alabama ☒ Illinois ☐ Nebraska ☐ South Carolina
☐ Alaska ☐ Indiana ☐ Nevada ☐ South Dakota
☐ Arizona ☐ Iowa ☐ New Hampshire ☐ Tennessee
☐ Arkansas ☐ Kansas ☐ New Jersey ☐ Texas
☐ California ☐ Kentucky ☐ New Mexico ☐ US Virgin Islands
☐ Colorado ☐ Louisiana ☐ New York ☐ Utah
☐ Connecticut ☐ Maine ☐ North Carolina ☐ Vermont
☐ Delaware ☐ Maryland ☐ North Dakota ☐ Virginia
☐ District of Columbia ☐ Massachusetts ☐ Ohio ☐ Washington
☐ Florida ☐ Michigan ☐ Oklahoma ☐ West Virginia
☐ Georgia ☐ Minnesota ☐ Oregon ☐ Wisconsin
☐ Guam ☐ Mississippi ☐ Pennsylvania ☐ Wyoming
☐ Hawaii ☐ Missouri ☐ Puerto Rico
☐ Idaho ☐ Montana ☐ Rhode Island

[select all](#)
[deselect all](#)

Disclosure Question Explanations

Disclosure Question Explanations is a new section that requires the company user to provide an explanation to each disclosure question that has a "Yes" answer in conjunction with submitting a filing.

1. Click Add.

The screenshot shows the 'Disclosure Explanations' page for 'The Hills Mortgage Company (1013) MU1 filing created 1/24/2012 by GrantV4'. The page includes a sidebar with navigation links and a main content area. The main content area contains instructions: 'An explanation must be provided for each disclosure question with a "yes" response. Click Add to provide a new explanation or [pencil icon] to amend an existing explanation. Explanations for "no" responses cannot be provided through NMLS. Below is a list of explanations currently associated to this filing.' A red text box states: 'An explanation must be provided for each disclosure question with a "yes" response. Click Add to provide explanation(s).' Below this text is an 'Add' button. Navigation buttons 'Previous' and 'Next' are at the bottom.

2. Complete all fields.

The screenshot shows the 'Disclosure Explanations' page for 'The Hills Mortgage Company (1013) MU1 filing created 1/24/2012 by GrantV4'. The page includes a sidebar with navigation links and a main content area. The main content area contains instructions: 'Provide the requested information regarding the event resulting in a "yes" response to one or more disclosure questions below. View the Disclosure Explanation Reference Guide for more information about entering disclosure explanations.' The 'Explanation Detail' form includes fields for 'Enter Brief Description of Explanation', 'Disposition', 'If "Other" Disposition, please describe', and 'Explanation for Regulatory Authority'. Below the form is a table with columns 'Explanation Provided For', 'Current Response', and 'Question(s)'. The table has two sections: 'Criminal Disclosure' and 'Civil Disclosure'. The 'Criminal Disclosure' section has a row for '(A) Has the entity or a control affiliate ever: (2) been charged with any felony?' with a 'Yes' checkbox. The 'Civil Disclosure' section has a row for '(F) Has any domestic or foreign court: (1) in the past ten years enjoined the entity or a control affiliate in connection with any financial services-related activity?' with a 'Yes' checkbox. At the bottom of the form is a 'Supporting File (PDF Only)' section with a 'File' input field, 'Browse...' and 'Upload' buttons, and 'Save' and 'Cancel' buttons.

3. Click Browse.
4. Select the file and click Save to save the explanation and upload the file.

Indirect Owners
Qualifying Individuals
Document Uploads
MU2 Forms
Completeness Check and Submit

Explanation Provided For	Current Response:	Question(s)
Criminal Disclosure		
☒	Yes	(A) Has the entity or a control affiliate ever: (2) been charged with any felony?
Civil Disclosure		
☐	Yes	(F) Has any domestic or foreign court: (1) in the past ten years enjoined the entity or a control affiliate in connection with any financial services-related activity?

Supporting File (PDF Only):

File:

Only one optional pdf file can be uploaded for an explanation. Multiple documents must be combined in a single file.

Qualifying Individuals

The new Qualifying Individuals section includes the required identification of an Industry Type(s) and the selection of the State.

You are currently: ★ State

Business Activities
Request License
License/Registration Information
Identifying Information
Other Trade Names
Resident/Registered Agent
Web Addresses
Contact Employees
Books and Records Information
Approvals and Designations
Bank Accounts
Legal Status
Affiliates/Subsidiaries
Financial Institutions
Disclosure Questions
Disclosure Explanations
Direct Owners and Executive Officers
Indirect Owners
Qualifying Individuals
Document Uploads
MU2 Forms
Completeness Check and Submit

Qualifying Individuals

The Hills Mortgage Company (1013) MU1 filing created 1/24/2012 by GrantV4. Total Charges: \$0.00

Provide the information requested below for the Qualifying Individual, including applicable Industry Type(s) and State(s). In addition an [MU2 form](#) must be completed for each Qualifying Individual.

Entity ID: 847575

Full Legal Name: jackson, michael

Title:

Business Address:

City:

State:

Country / Province:

Postal Code:

Industry Type(s): ☒ Mortgage ☒ Debt-Related Industries
☐ Consumer Finance ☐ Money Services Business

State(s): ☐ Alabama ☒ Illinois ☐ Nebraska ☐ South Carolina
[select all](#) ☐ Alaska ☐ Indiana ☐ Nevada ☐ South Dakota
[deselect all](#) ☐ Arizona ☐ Iowa ☐ New Hampshire ☐ Tennessee
☐ Arkansas ☐ Kansas ☐ New Jersey ☐ Texas
☐ California ☐ Kentucky ☐ New Mexico ☐ US Virgin Islands
☐ Colorado ☐ Louisiana ☐ New York ☐ Utah
☐ Connecticut ☐ Maine ☐ North Carolina ☐ Vermont
☐ Delaware ☐ Maryland ☐ North Dakota ☐ Virginia
☐ District of Columbia ☐ Massachusetts ☐ Ohio ☐ Washington
☐ Florida ☐ Michigan ☐ Oklahoma ☐ West Virginia
☐ Georgia ☐ Minnesota ☐ Oregon ☐ Wisconsin
☐ Guam ☐ Mississippi ☐ Pennsylvania ☐ Wyoming
☐ Hawaii ☐ Missouri ☐ Puerto Rico
☐ Idaho ☐ Montana ☐ Rhode Island

Document Upload

Document Upload will allow the state licensed company and or branch to provide specified documents to regulators in NMLS.

Below are examples of the type of documents that would be uploaded in Document Upload.

- Business Plan
- Certificate of Authority/Good Standing Certificate
- Debt Management Agreement
- Document Samples
- Errors and Omissions
- Fidelity Bond
- Formation Document
- Management Chart
- Organizational Chart
- Surety Bond
- Trust Account Authorization

1. Click Add.

The screenshot shows the NMLS Document Upload interface. On the left is a sidebar menu with the following items: "You are currently:", "State" (with a dropdown arrow), "Business Activities", "Request License", "License/Registration Information", "Identifying Information", "Other Trade Names", "Resident/Registered Agent", "Web Addresses", "Contact Employees", and "Books and Records". The main content area is titled "Document Uploads". It displays a summary for "The Hills Mortgage Company (1013) MU1 filing created 1/24/2012 by GrantV4." with a "Total Charges: \$0.00" box. Below this is a large blue button labeled "Add" with a right-pointing arrow. At the bottom of the main area are "Previous" and "Next" navigation buttons with left and right arrows respectively.

2. Click Browse.
3. Select the file and click Save to save the explanation and upload the file.

You are currently: ★ State

Business Activities
Request License
License/Registration Information
Identifying Information
Other Trade Names
Resident/Registered Agent
Web Addresses
Contact Employees
Books and Records Information
Approvals and Designations
Bank Accounts

Add Document Uploads

The Hills Mortgage Company (1013) MU1 filing created 1/24/2012 by GrantV4. Total Charges: \$0.00

File : Browse...

Document Type : ▼

State : ▼

Comment :

Save Cancel

4. Select a Document Type.

You are currently: ★ State

Business Activities
Request License
License/Registration Information
Identifying Information
Other Trade Names
Resident/Registered Agent
Web Addresses
Contact Employees
Books and Records Information
Approvals and Designations
Bank Accounts
Legal Status

Add Document Uploads

The Hills Mortgage Company (1013) MU1 filing created 1/24/2012 by GrantV4. Total Charges: \$0.00

File : C:\Documents and Settings\walkert.NASD Browse...

Document Type : ▼

State : ▼

Comment :

Save Cancel

Business Plan
Certificate of Authority/Good Standing Certificate
Debt Management Agreement
Document Samples
Errors and Omissions
Fidelity Bond
Formation Document
Management Chart
Organization Chart
Surety Bond
Trust Account Authorization

5. Select a State.
6. Add a Comment. This is optional.
7. Click Save.

MU2 Forms

Some state regulators may now require MU2 individuals to complete a Criminal Background Check based on jurisdiction and the license type being applied for.

★ State ▼

License/Registration Information

Identifying Information

Other Names

Residential History

Employment History

Other Business

Disclosure Questions

Disclosure Explanations

Criminal Background Check

Credit Report Request

Completeness Check and Submit

Criminal Background Check

Billy Cundiff (847252) MU2 filing created 1/24/2012 by GrantV4.

Total Charges: \$171.10

To request a federal criminal background check with this filing, you must mark the checkbox requesting a background check, confirm the method and provide demographic information below. If a previous request is still pending, a criminal background check cannot be requested. You can access [Composite View](#) to view the status of an existing request.

☐ I am requesting a Federal Criminal Background Check.

Confirm background check method:

☒ Submit New Prints

[FBI Required Privacy Act Statement](#)

Demographics

The FBI requires the following information to be provided:

Eye color: Hair color:

Height: ft in Weight: lbs

Race:

Date of Birth is included with the demographic information provided to the FBI and can be verified against your record in [Composite View](#).

Save

Completeness Check and Attest, Submit and Pay

There are new completeness checks as there are new/updated Individual (MU4) sections.

Complete all outstanding items, attest, submit filing and pay for the filing.

State

Business Activities

Request License

License/Registration Information

Identifying Information

Other Trade Names

Resident/Registered Agent

Web Addresses

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Books and Records Information

Approvals and Designations

Bank Accounts

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Affiliates/Subsidiaries

Financial Institutions

Disclosure Questions

Disclosure Explanations

Direct Owners and Executive Officers

Indirect Owners

Qualifying Individuals

Document Uploads

MU2 Forms

Completeness Check and Submit

Completeness Check and Submit

The Hills Mortgage Company (847428) MU1 filing created 2/3/2012 by IdonisP.

Please be aware that certain information from this filing and related to your licenses/registrations will be considered public. For details on what is considered public, click here.

Your filing cannot be submitted until the actions detailed below have been taken. Click on the section name to access the section of the filing and complete the listed action. Certain action items may require you to access other functions under the Filing Tab by clicking a link provided in the Action Required section. After completing all required actions, a **Submit Filing** button will appear.

Section	Action Required
Books and Records Information	Please provide information regarding records custodian for Alabama.
Disclosure Explanations	Each Question that is currently answered yes must have at least one Explanation associated with it.
Direct Owners and Executive Officers	Percentage of ownership is required.
Direct Owners and Executive Officers	Title is required.
Resident/Registered Agent	Please add a resident/registered agent for Alabama.
MU2 Forms	All MU2 filings must be attested by individuals.
MU2 Forms	A Credit Report must be requested for Stephen J Colletti to fulfill state-specific requirements . If the MU2 is in an Attested Status, you must recall the filing to request a credit report.
MU2 Forms	The MU2 Filing for Stephen J Colletti has completeness checks that must be resolved prior to submission of your filing. If the MU2 is in an Attested Status, you

State

Business Activities

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Bank Accounts

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MU2 Forms

Completeness Check and Submit

Completeness Check and Submit

The Hills Mortgage Company (847428) MU1 filing created 2/3/2012 by IdonisP.

Please be aware that certain information from this filing and related to your licenses/registrations will be considered public. For details on what is considered public, click here.

All completeness checks pass for this filing.

Subject	Fee Type	Amount
Total Charges		\$0.00

I **Paula Idonis** of **The Hills Mortgage Company (847428)** (Applicant) on this date **Friday, February 03, 2012** swear (or affirm) as follows, that I executed this form on behalf, and with the authority, of said Applicant and said Applicant agrees to and represents the following:

(1) That the information and statements contained herein, including exhibits attached hereto, and other information filed herewith, all of which are made a part of this application, are current, true and complete and are made under the penalty of perjury, or un-sworn falsification to authorities, or similar provisions as provided by law;

(2) To the extent any information previously submitted is not amended, such information remains accurate and complete;

(3) That the jurisdiction(s) to which an application is being submitted may conduct any investigation into the background of the applicant, and any related individuals or entities, in accordance with all laws and regulations for purposes of making a determination on the application;

(4) To keep the information contained in this form current and to file accurate supplementary information on a timely basis; and

(5) To comply with the provisions of law, including the maintenance of accurate books and records, pertaining to the conduct of business for which the applicant is applying.

If the Applicant has knowingly made a false statement of a material fact in this application or in any documentation provided to support the foregoing application, then the foregoing application may be denied.

☒ I verify that I am the named person above and that I am authorized to attest to and submit this filing on behalf of the Applicant.

Attest and Submit