Dear Members:

Daylight savings is officially over, and just like that, November is here. Although I could not make it to the October workshop, I heard from the Executive Committee that our event at Hard Rock Cafe was a success. We have included some pictures from the event on page 6 of this newsletter.

We have the following upcoming events:

- November 17th workshop – registration is now open (link below)
- December 8th workshop – registration is now open (link below)
- January 12th workshop – registration will be opening shortly
- January 26th 7th Annual Government Audit Conference – registration will be opening shortly

I have had several inquiries on registration links. Please log onto “theiia.org” and ensure your email address is up-to-date. Our chapter is sent all updated information from IIA Headquarters.

The Executive Committee has been working hard the past few months preparing for all of our upcoming workshops and events to ensure we are covering a broader range of topics for our members. I really hope our members are enjoying the content, please let me know if you have any recommendations.

In terms of other resources available to our members, I hope you all got a chance to skim through the most recent issue of Internal Auditor, the IIA’s magazine. There was an excellent article by Doug Anderson around COSO ERM and how risk management has become a prime focus of organizations over the past two decades. The article touches upon the recent COSO 2017 update and how the framework will improve risk management, thereby allowing the organization to accomplish its objectives. If you haven’t gotten a chance to already, I highly recommend reading through the article, as well as glancing through the other topics detailed within the magazine. Internal Auditor can be accessed through the IIA’s North America website via the “Bookstore & Publications” section.

As always, please do not hesitate to reach out to any of the Executive Committee with any suggestions or recommendations you may have. We are interested in hearing your thoughts and suggestions and want to make your membership with the NY Chapter as meaningful as we can. I look forward to seeing you next week at the November workshop.

Sincerely,

Scott Kenney
NY Chapter President of the Institute of Internal Auditors
2017 Subscription Plan Information

Buy in bulk and save!
Refer to the section below for complete listing of IIA workshop and luncheons from September 1st, 2017 to May 31st, 2018!

<table>
<thead>
<tr>
<th>Plan</th>
<th>Subscription Plan Cost</th>
<th>Savings off Regular Pricing</th>
</tr>
</thead>
<tbody>
<tr>
<td>8 Workshops w/ 1 AAS*</td>
<td>1,300</td>
<td>25%</td>
</tr>
<tr>
<td>4 Workshops w/ 1 AAS</td>
<td>875</td>
<td>13%</td>
</tr>
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<td>4 Workshops only</td>
<td>625</td>
<td>13%</td>
</tr>
<tr>
<td>8 Luncheons</td>
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<td>23%</td>
</tr>
<tr>
<td>4 Luncheons</td>
<td>205</td>
<td>17%</td>
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<tr>
<td>Annual Audit Seminar (Extra)</td>
<td>280</td>
<td>2%</td>
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</table>

*AAS – “Annual Audit Seminar”

Visit our website for more information regarding event pricing and subscription plans, career opportunities and more!

Employment Opportunities

The following are the companies offering career opportunities. Refer to our website for current postings including:

- Arch Capital Services – Internal Audit Services
- Arch Capital Services – Manager Internal Audit Services
- TIAA – Senior Manager, Internal Audit
- Teachers Retirement System – ACL Analyst

Individuals or organizations interested in posting job opportunities should submit a condensed job description to our VP of Professional Services, Matthew Meisel.

2017 – 2018 Workshops and Luncheon Topic Schedule

2017

<table>
<thead>
<tr>
<th>Date</th>
<th>Location</th>
<th>Weekday</th>
<th>Workshop Topic</th>
<th>Luncheon Topic</th>
</tr>
</thead>
<tbody>
<tr>
<td>Nov 17</td>
<td>Baruch</td>
<td>Friday</td>
<td>Fraud Awareness (AM) Value Added Auditing (PM)</td>
<td>Building a Risk Aware Culture</td>
</tr>
<tr>
<td>Dec 8</td>
<td>Baruch</td>
<td>Friday</td>
<td>Root Cause Analysis</td>
<td>Auditing Never Sleeps</td>
</tr>
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</table>

2018

<table>
<thead>
<tr>
<th>Date</th>
<th>Location</th>
<th>Weekday</th>
<th>Workshop Topic</th>
<th>Luncheon Topic</th>
</tr>
</thead>
<tbody>
<tr>
<td>Jan 12</td>
<td>Baruch</td>
<td>Friday</td>
<td>Transforming Conflict into Collaboration</td>
<td>Successful Communication</td>
</tr>
<tr>
<td>Feb 23</td>
<td>Hard Rock Café</td>
<td>Friday</td>
<td>Risk Management (AM) Analytics (PM)</td>
<td>Successful Practices from the “Quality Assessment” Trail</td>
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<tr>
<td>Mar 16</td>
<td>Baruch</td>
<td>Friday</td>
<td>Hot Topics in Fraud</td>
<td>Cyber Risk and Real World Scenarios</td>
</tr>
<tr>
<td>Apr 20</td>
<td>Baruch</td>
<td>Friday</td>
<td>Best Practices in the Financial and non-Financial Services Sector</td>
<td>Top Considerations in Banking</td>
</tr>
<tr>
<td>May 18</td>
<td></td>
<td></td>
<td>Please save the date and join us for our Annual Audit Seminar</td>
<td>Theme: “Navigating the Future” Program and registration details coming shortly</td>
</tr>
</tbody>
</table>

*Refer to page 3 for speaker bios

For more information, contact Ellen Isaacs at ellenisaacs.iia@gmail.com
November 17th Workshop and Luncheon

<table>
<thead>
<tr>
<th>Workshop</th>
<th>Topic</th>
<th>Presenters</th>
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</thead>
<tbody>
<tr>
<td></td>
<td>Finding and Fighting</td>
<td>Steve Goepfert</td>
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<tr>
<td></td>
<td>Fraud – A 24 Hour</td>
<td></td>
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<td></td>
<td>Responsibility &amp;</td>
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<tr>
<td></td>
<td>Value Added Auditing</td>
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</table>

<table>
<thead>
<tr>
<th>Luncheon</th>
<th>Topic</th>
<th>Presenter</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Building a Risk Aware</td>
<td>Ernest Anunciacion</td>
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<tr>
<td></td>
<td>Culture</td>
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**Location:** Baruch College  
55 Lexington Avenue, NY NY  
**Registration Time:** 8:00am  
**Workshop Time:** 8:30am – 4:30pm  
[Register here!]

**Seminar Background:**

**Finding and Fighting Fraud – A 24 Hour Responsibility**  
Fraud is the great equalizer – it knows no boundaries --- age, gender, race, religion, vocation, geographic location. In this session, Goepfert will highlight key ingredients to detect fraud, including the power of technology to find fraud schemes. We will also explore the vital methods for conducting the fraud interview – the dos and don’ts of this critical activity. Steve will discuss case studies of past fraud investigations that will provide further lessons about the fraud discovery process.

**Value Added Auditing**  
The challenge for Internal Audit to be “value-added” is integral to the success of the function in today’s world. In this session you will learn some of the innovative approaches United Airlines implemented to create and add value to its organization’s bottom-line. Mr. Goepfert will take you on a guided tour of the best practices used by their IA Department to add value and provide top-notch assurance services. This address will highlight specific audit tools and techniques to add value

**Speaker Bios:**

**Steve Goepfert**  
CIA, CPA, QIAL, CRMA Retired Vice President - Internal Audit (CAE), United Airlines

**Ernest Anunciacion** has practitioner experience of over 15 years in the development, implementation and administration of ERM, SOX, and Internal Audit strategies.

**Global Guidance Contributors Process**

Current internal audit practitioners are encouraged to contribute to The IIA’s International Professional Practices Framework (IPPF) by joining our Global Guidance Contributor Program.

Visit our [website](#) for more information!
SAVE THE DATE

7th Annual Government Audit Conference

Hotel Pennsylvania
401 7th Avenue, New York, NY 10th Floor

January 26, 2018
8:30-9:30

Keynote Speaker

“PurposeServiceImpact”
Michael Peppers
IIA Global Chairman
9:45-12:00

Creating Relationships
Pam Jenkins, CPA, CIA, CRMA
Vice President, Global Audit Services, Fossil Group
1:15-2:30

Guarding the Public Trust Are You Up To The Challenge
John Wzelacki
Director, American Center for Government Auditing,
The Institute of Internal Auditors, Global Headquarters
2:45-4:30

Developing a Collaborative Relationship with Management
Harold Silverman
Vice President – Internal Audit, The Wendy’s Company

IIA Member Attendee: $75 Non-Member Attendee: $85
Registration will open shortly!
Internal Auditing Education Partnership Program (IAEP)

The New York Chapter of the IIA provided generous support for four St. John’s University Accounting Students to participate in the 2017 Internal Auditing Education Partnership Program (IAEP) Leadership and Networking Conference. The students included: Vichapaht (James) Chotiyanonta; Cherly-Ann C. Marumahoko; Reed A. Sullivan; and Mian Wang. These students were accompanied by the Director of the Internal Auditing Education Partnership Program at St. John’s University, Dr. David Y. Chan. The students competed in a case competition (14 teams) and had opportunities to network and interview for full-time position in internal audit and risk advisory. Reed A. Sullivan was part of the Chipotle team that won 2nd Prize in the case competition. Furthermore, Cherly-Ann C. Marumahoko was part of the Amazon team that won 3rd Prize. As winners of the case competition, they were awarded with monetary gift cards courtesy from KPMG US LLP.

If you have any questions, please reach out to our VP of Professional Services, Matthew Meisel.

November Book of the Month


Use promo code CHAPTER to save 20% on this title by Nov. 30, 2017.

Articles

True Courage Professionals
Navigate Toward the Storms

Are You Pursuing Your Career in Color

A Call for Volunteers!

If you are interested in volunteering for the IIA, please contact Lindsy Varghese at lindsyvarghese.iiia@gmail.com
Pictures from the October 20th Workshop and Luncheon