Dear Members:

We are in the holiday season and there are only a few days left in 2017. I hope all of you will be spending time with your loved ones over the next few weeks. I’m thrilled to hear that many of you enjoyed the December workshop. Raven Catlin did an exceptional job presenting interactively on root cause analysis and Angela Witzany, 2016-2017 chairman of the IIA’s Global Board, provided an overview on the complex risks internal auditors face today. We have included some pictures from the event on page 5 of this newsletter.

In terms of upcoming events, our next workshop is on Friday, January 12th at Baruch and the 7th Annual Government Audit Conference is on Friday, January 26th at Hotel Pennsylvania. Registration is now open for both events (links below in the newsletter).

Again, please do not hesitate to reach out to any of the Executive Committee with any suggestions or recommendations you may have. We are interested in hearing your thoughts and suggestions and want to make your membership with the NY Chapter as meaningful as we can. Happy New Year and I look forward to seeing you in January.

Sincerely,

Scott Kenney

NY Chapter President of the Institute of Internal Auditors

What’s Inside

President’s Message 1
Subscription Plan 2
Workshops and
Luncheon Schedule 2
Employment Opportunities 2
Monthly Workshops 3
So, you want to be an Internal Auditor? 4
New Member Certification 4
Related Articles 4
Call for Volunteers 4
Prior Workshop Photos 5
IA Leadership Roundtable 6
CIA Course 6

NY IIA Chapter Website Link
2017 Subscription Plan Information

Buy in bulk and save!

Refer to the section below for complete listing of IIA workshop and luncheons from September 1st, 2017 to May 31st, 2018!

<table>
<thead>
<tr>
<th>Plan</th>
<th>Subscription Plan Cost</th>
<th>Savings off Regular Pricing</th>
</tr>
</thead>
<tbody>
<tr>
<td>8 Workshops w/ 1 AAS*</td>
<td>1,300</td>
<td>25%</td>
</tr>
<tr>
<td>4 Workshops w/ 1 AAS</td>
<td>875</td>
<td>13%</td>
</tr>
<tr>
<td>4 Workshops only</td>
<td>625</td>
<td>13%</td>
</tr>
<tr>
<td>8 Luncheons</td>
<td>380</td>
<td>23%</td>
</tr>
<tr>
<td>4 Luncheons</td>
<td>205</td>
<td>17%</td>
</tr>
<tr>
<td>Annual Audit Seminar (Extra)</td>
<td>280</td>
<td>2%</td>
</tr>
</tbody>
</table>

*AAS – “Annual Audit Seminar”

Visit our [website](#) for more information regarding event pricing and subscription plans, career opportunities and more!

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### Employment Opportunities

The following are the companies offering career opportunities. Refer to our [website](#) for current postings including:

- MTA Headquarters – Auditor I

Individuals or organizations interested in posting job opportunities should submit a condensed job description to our VP of Professional Services, Matthew Meisel.

**2018 Workshops and Luncheon Topic Schedule**

<table>
<thead>
<tr>
<th>Date</th>
<th>Location</th>
<th>Weekday</th>
<th>Workshop Topic</th>
<th>Luncheon Topic</th>
</tr>
</thead>
<tbody>
<tr>
<td>Jan 12*</td>
<td>Baruch*</td>
<td>Friday*</td>
<td>Transforming Conflict into Collaboration*</td>
<td>Successful Communication*</td>
</tr>
<tr>
<td>Feb 23</td>
<td>Hard Rock Café</td>
<td>Friday</td>
<td>Risk Management (AM) Analytics (PM)</td>
<td>Successful Practices from the “Quality Assessment” Trail</td>
</tr>
<tr>
<td>Mar 16</td>
<td>Baruch</td>
<td>Friday</td>
<td>Hot Topics in Fraud</td>
<td>Cyber Risk and Real World Scenarios</td>
</tr>
<tr>
<td>Apr 20</td>
<td>Baruch</td>
<td>Friday</td>
<td>Best Practices in the Financial and non-Financial Services Sector</td>
<td>Top Considerations in Banking</td>
</tr>
<tr>
<td>May 18</td>
<td></td>
<td></td>
<td>Please save the date and join us for our Annual Audit Seminar Theme: “Navigating the Future” Program and registration details coming shortly</td>
<td></td>
</tr>
</tbody>
</table>

*Refer to page 3 for speaker bios

For more information, contact Ellen Isaacs at ellenisaacs.iiagmail.com
January 12th Workshop and Luncheon

<table>
<thead>
<tr>
<th>Workshop</th>
<th>Topic</th>
<th>Presenters</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Win-Win Conversations: Transforming Conflict into Collaboration</td>
<td>Margie Bastolla</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Luncheon</th>
<th>Topic</th>
<th>Presenter</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Executive Presence: Speak with Persuasive Power and Build Your Brand</td>
<td>Maria Guida</td>
</tr>
</tbody>
</table>

Location: Baruch College  
55 Lexington Avenue, NY NY  
Registration Time: 8:00am  
Workshop Time: 8:30am – 4:30pm  
Register here!

Seminars Background:

**Win-Win Conversations: Transforming Conflict into Collaboration**

Your attitude toward conflict will determine your success during difficult conversations at work and whether you achieve favorable results. Win-win conversations require that we learn why conversations fail, as well as proven methods to ensure that even our most difficult conversations have a high chance of success.

**Value Added Auditing**

This program improves communication and credibility; internal auditors learn how to be confident, clear, and concise. Take away strategies to increase listener engagement with vocal/physical command and gravitas. Learn how to focus the mind for a strategic delivery of any business message; boost your power to influence and speak with authority, authenticity, and approachability.

**Speaker Bios:**

**Margie Bastolla**  
Margie is Principal with Margie Bastolla Facilitations, LLC. She assists internal audit departments that desire to communicate more clearly, credibly, and persuasively. A professional facilitator and speaker, she has worked in over 40 countries. Previously an executive for The Institute of Internal Auditors in Altamonte Springs, Florida, Margie served as Vice President of The IIA Research Foundation, Global Director of Advocacy, and Director of Onsite Training Programs.

**Maria Guida**  
Maria works with accountants and other professionals who want to develop power speaking skills, to be more persuasive, productive and profitable. With her experience as an actor on Broadway, TV and film she works with leaders to enhance their credibility, leadership presence, and competitive edge.

Global Guidance Contributors Process

Current internal audit practitioners are encouraged to contribute to The IIA’s International Professional Practices Framework (IPPF) by joining our Global Guidance Contributor Program.

Visit our [website](#) for more information!

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7th Annual Government Audit Conference

**When:** January 26, 2018  
8:00-4:30

**Where:** Hotel Pennsylvania  
401 7th Avenue, New York, NY 18th Floor

**Cost:** IIA Member Attendee: $75  
Non-Member Attendee: $85  
Register here!

**Schedule of Events**

8:30-9:30  
Keynote Speaker  
“PurposeServiceImpact”  
Michael Peppers  
IIA Global Chairman

9:45-12:00  
Creating Relationships  
Pam Jenkins, CPA, CIA, CRMA  
Vice President, Global Audit Services, Fossil Group

1:15-2:30  
Guarding the Public Trust Are You Up To The Challenge  
John Wzelacki  
Director, American Center for Government Auditing, The Institute of Internal Auditors, Global Headquarters

2:45-4:30  
Developing a Collaborative Relationship with Management  
Harold Silverman Vice President – Internal Audit, The Wendy’s Company
The IIA NY Chapter and Quality Plus & Associates presents

“So, you want to be an Internal Auditor”

This is a 3-day seminar that will provide attendees with the opportunity to learn the necessary concepts, tools and techniques to be a successful internal auditor. The course will be taught by Nick DiMola and Paul Flora, two highly experienced retired Chief Audit Executives, and is designed for internal auditors with up to three years of experience. The seminar will focus on the role and responsibilities of internal auditors; the Standards for internal audit; how to identify risk and evaluate internal controls; audit program development; documentation and work paper techniques; effective interview techniques; and how to develop and report audit results.

Click [HERE](#) To Register for this Seminar

**When:** January 9-11, 20187
9:00 AM to 4:30 PM

**Where:** St. John’s University
Manhattan Campus
101 Astor Place, NYC, 10003

**Cost:**
- Registration - $725
- Early Registration - $650 by Dec. 22nd
- Group Registration - $625 pp (3 or more attendees)
- CPE: 24 Credits

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**Certified Internal Auditor (CIA)**
- Colleen Doyle
- Christopher Lekocevic
- Peter Sanderson

**Certification in Risk Management Assurance (CRMA)**
- Olga Onetti

**Certified Financial Services Auditor (CFSA)**
- Mehul Shah

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**January Book of the Month**

In January, chapter members can save 20% off one title published by the Internal Audit Foundation

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**Related Articles and Activities**

**4 Ways to Evaluate Audit Software**

**5 Ways Internal Audit Can Add More Value**

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**A Call for Volunteers!**

If you are interested in volunteering for the IIA, please contact
Lindsy Varghese at
lindsyvarghese.iiia@gmail.com
Workshop and Luncheon Pictures

November 17th

December 8th
Internal Audit Leadership Roundtable
Calling all interested Internal Audit Manager/Directors to participate.

January 17th 4 – 6pm

Committee goals:

- Roundtable discussions with mid-level audit staff to discuss relevant and timely topics
- Hold four Roundtables meetings
- Invite guests to speak on topics of interest at some of these roundtables

Please look for additional announcements on the chapter website.

For more information contact – Diane Napolitano

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Earn the most sought-after credential in the Global Internal Audit Profession
Become a CIA!

Prepare to pass Parts 1,2 & 3 of the Certified Internal Auditor Exam with this course that combines expert classroom instruction with The Institute of Internal Auditors (IIA) New CIA Learning System print and online course components (participants must have the IIA’s Learning System).
This course will expand your internal audit knowledge and prepare you for the Certified Internal Auditor® exam

When: Saturdays, February 24, March 3, April 7, April 14 and April 21, 9AM-5PM
Where: St. John’s University – Manhattan Campus
Cost: $600 for Part 1 (February 24th and March 3rd)
      $600 for Part 2 (April 7 and 14)
      $800 for Part 3 (May19, 26 and June 2nd)

For more information contact – Elena Dobinda