Data Protection & Privacy in the Financial Services Sector

PricewaterhouseCoopers LLP, 1 Embankment Place London, WC2N 6RH

City & Financial Global’s “Data Protection & Privacy in the Financial Services Sector Conference” will enhance your understanding about how European Data Protection Reform will work in practice and what you should do to prepare your business for forthcoming changes.

It will offer a balanced approach between knowledge-driven content in the form of presentations, keynotes and interactive debate with senior industry executives, government officials and policy makers including:

Stewart Room
Global Head of Cyber Security and Data Protection Law, PwC Legal

Cameron Craig
Deputy General Counsel – Data Privacy and Information Governance – Group Head of Data Privacy, HSBC HOLDINGS

Peter Armstrong
Global Head of Financial Institutions Industry, Willis Group Holdings

Jakub Boratynski
Head of Unit, Trust and Security, DG Connect, European Commission

Iain Bourne
Group Manager Policy Delivery, ICO

Gary Cheetham
Executive Director, Cyber, Willis FINEX Global

Stefan Hanloser
Global Privacy and Data Protection Officer, Allianz Asset Management

Michelle Levin
EMEA Privacy Council, AON

Michael Colao
Head of UK Security, AXA

Stephanie Daman
CEO, Cyber Security Challenge UK

Philip Raether
Executive Director and the Global Lead for Data Protection & Management, UBS

Dyann Heward-Melling
Senior Privacy Counsel, GE Capital

Discussion topics include:

- The main requirements for a ‘Data Protection Officer’ role in the financial services sector in the UK and across Europe
- Integrating data protection fully into all business processes. What are the main steps and how to get it all right?
- International data transfers and possible data transfer solutions under the new legislation. What is the best way to regulate transfers?
- Setting clear, fair and transparent rules for obtaining customer consent. Understanding the importance of a comprehensive strategy covering data classification, retention, collection, destruction, storage and search
- How are financial institutions getting hacked and what actions need to happen in the case of a data breach?
- Best practices of critical instant responses in Europe and the US
- Addressing the human factor in data protection. Mitigating the risk of employee negligence or maliciousness through policies, training, monitoring and enforcement

Hear from and network with:
Data Protection Officers, Privacy Officers, General Counsel, Compliance Officers, Risk Managers, Company Secretaries, Information Officers, IT Directors, Information Security Officers, Consultants, Public and Private Practice Lawyers and Finance Directors from the financial services sector


A One Day Conference
Friday 12 June 2015

Organised by
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The **Institute of Information Security Professionals (IISP)** is a not-for-profit organisation, owned by its members and dedicated to raising the standard of professionalism in information security and the industry as a whole. The IISP does this through accrediting skills and competence, by sharing best practice and by providing a network of support and guidance on individual skill development. It speaks with an authoritative voice and its competency-based memberships are widely recognised in the information security industry.

The IISP has a growing membership across private and government sectors. At the heart of the Institute is the IISP Skills Framework©2015 which is widely accepted as the de facto standard for measuring competency of Information Security Professionals. It is widely used by IISP Corporate members to benchmark and develop security information capability with their organisations. CESG have taken this Framework to underpin their Certified Professional Scheme (CCP), for which the IISP is the leading certifying body.

The **Cyber Security Challenge** is a series of national competitions, learning programmes, and networking initiatives designed to identify, inspire and enable more EU citizens resident in the UK to become cyber security professionals. Established to bolster the national pool of cyber skills, it offers a unique programme of activities to introduce sufficient numbers of appropriately skilled individuals to learning and career opportunities in the profession.

Founded in 1989, the **Information Security Forum (ISF)** is an independent, not-for-profit association of leading organisations from around the world. It is dedicated to investigating, clarifying and resolving key issues in cyber, information security and risk management and developing best practice methodologies, processes and solutions that meet the business needs of its Members.

ISF Members benefit from harnessing and sharing in-depth knowledge and practical experience drawn from within their organisations and developed through an extensive research and work program.

**Computer Weekly** stands out from the many technology news websites on offer through its unique history, extensive reader community and in-depth coverage of the issues, challenges and trends facing today’s IT leaders. Computer Weekly provides the latest news and analysis through its website and 200,000-subscriber weekly digital magazine, as well as award-winning and exclusive premium content; a dedicated monthly magazine for European IT leaders; independent research studies; and the CW500 Club’s exclusive networking events for senior IT managers. From being the world’s first weekly IT newspaper in 1966, to today’s multi-platform digital publication, Computer Weekly continues to reach millions of IT decision-makers in the UK, Europe and the rest of the world.

On the web, on mobile and through face-to-face events, Computer Weekly aims to help senior IT professionals:

- Make better IT strategy and technology purchasing decisions;
- Improve their knowledge and skills, and develop their careers;
- Connect with the people and information they need to be successful in their jobs.

**Connecting the cyber security industry.**

With active participation from individuals and chapters all over the world, the **Information Systems Security Association (ISSA)** is the largest international, not-for-profit association specifically for information security professionals. Having welcomed over 1,800 members since our beginnings in 2003, the ISSA-UK Chapter is the world’s most successful chapter. At only $95 per year for membership, we offer the most value out of any security association globally.
Dear Delegates and Speakers,

PwC is delighted to host this conference.

Data Protection is a critical issue for financial services and it is important that FS businesses keep up-to-date on the issues due to the enormity of the legal and regulatory changes that are underway. This conference provides delegates with a great opportunity to discuss the key issues with their peers and the expert groups of speakers.

I hope you have an enjoyable day.

Stewart Room
Global Head of Cyber Security and Data Protection Law, PwC Legal

About our sponsor

PwC firms help organisations and individuals create the value they’re looking for. We’re a network of firms in 158 countries with close to 169,000 people who are committed to delivering quality in assurance, tax and advisory services. In the UK our Financial Services Risk and Regulation team leverages the experience of the accountants, lawyers, former regulators, computer forensic specialists, engineers and other experts of Forensic Services, to help you to develop and implement a strategic response to financial crime and regulatory issues. Tell us what matters to you and find out more by visiting us at www.pwc.co.uk.

About City & Financial Global

City & Financial Global run around forty high level conferences each year, covering a wide variety of topics held throughout the world. C&F conferences have four distinguishing features. First, they are often held under joint ventures with governments and government agencies. Second, our speakers are always of the highest calibre, and include heads of government, senior government ministers, policy makers, and regulators and chief executives and main board directors of some of the world’s leading companies, as well as experts from top advisory firms. Third, our events focus on the impact of key change drivers such as government policy, regulation, product innovation, technology, supply and demand side factors and specific developments in different markets. Fourth, we aim to produce the most authoritative conference on any given topic. As a result, our events attract large audiences comprising senior decision-makers from both the public and private sectors, as well as sponsorship from some of the world’s leading companies and financial institutions.

For more information please visit www.cityandfinancial.com
The Programme

08:15 Registration, networking and morning coffee

09:00 Chairman's opening remarks
   Stewart Room, Global Head of Cyber Security and Data Protection Law, PwC LEGAL

09:10 Keynote address I
   Regulation and legislation around Data Protection: latest update on direction it is taking
   Jakub Boratynski, Head of Unit, Trust and Security, DG CONNECT, EUROPEAN COMMISSION

09:30 Keynote panel discussion I
   European Data Protection Reform – are you prepared for a legal change?
   Cameron Craig, Deputy General Counsel – Data Privacy and Information Governance – Group Head of Data Privacy, HSBC HOLDINGS
   Iain Bourne, Group Manager Policy Delivery, ICO
   Peter Armstrong, Executive Director, Cyber, WILLIS FINEX GLOBAL

10:30 Keynote panel discussion II
   Does your business need a ‘Data Protection Officer’? What is a ‘Data Protection Officer’ in Europe supposed to do?
   Geraldine Henbest, Group Data Protection Officer, XL Catlin
   Gary Cheetham, Chief Information Security and Data Protection Officer, NFU MUTUAL
   Dominika Kupczyk, Data Privacy Counsel, HSBC HOLDINGS

11:10 Networking and morning coffee

11:30 Keynote address II
   Update on EU Data Protection Regulation – ICO perspective
   The impact of the EU data protection proposed reforms on the financial services sector
   Iain Bourne, Group Manager Policy Delivery, ICO

11:50 Panel discussion I
   International data transfers and possible data transfer solutions under the new legislation
   Stefan Hanloser, Global Privacy and Data Protection Officer, ALLIANZ ASSET MANAGEMENT
   Dyann Heward-Mills, Senior Privacy Counsel, GE CAPITAL
   Dervish Tayyip, Assistant General Counsel, MICROSOFT

12:30 Data protection best practice session
   Strengthening individuals’ rights and managing the issue of customer consent under European Data Protection Regulation
   Dr Philipp Raether, Executive Director and the Global Lead for Data Protection & Management, UBS
   Peter Warren, Chairman, CYBER SECURITY RESEARCH INSTITUTE

Managing cyber risks – the global perspective. Why do FS firms fail to effectively manage data security breach incidents and how can you enhance your incident management detection and response capabilities?

• Assessing the vulnerability of the UK financial sector to cyber-attacks. How are financial institutions getting hacked and what actions need to happen in the case of a data breach?
• A cross-sector review of current risk management practices with regards to cyber and vulnerability testing via CBEST
• Best practices of critical instant responses in Europe and the US

Michael Colao, Head of UK Security, AXA
Sherief Hammad, Director – Security Consulting, NCC GROUP
Steve Armstrong, Certified Instructor, SANS INSTITUTE, Technical Security Director and Owner, LOGICALLY SECURE

Cloud, outsourcing & financial services

• Cloud and legal residence of data. Where is data stored?
• Designing effective cloud-based infrastructure and securing your clouds against leakages and injections
• Outsourcing – managing the data protection risks and challenges. Meeting FCA’s outsourcing requirements and imposing vendor security requirements
• Assuring the security of supply chain

Dervish Tayyip, Assistant General Counsel, MICROSOFT

Addressing the human factor in data protection and reducing the likelihood of a data breach

• Data breach as a ‘people issue’ – mitigating the risk of employee negligence or maliciousness through policies, training, monitoring and enforcement
• What are the most common human factor data security mishaps? Analysing human factor differences between smaller and larger-sized financial institutions
• Successful and proven strategies for managing employee risk across industries

Monica Cardoso Salgado, Senior Lawyer – Data Protection, VISA
Stephanie Daman, CEO, CYBER SECURITY CHALLENGE UK
Jane Wainwright, Senior Manager Cyber Security, PwC

Analysing successful compliance programmes. What has worked and what hasn’t? Pitfalls to be avoided and lessons to be learned

• Reviewing your existing security policies and procedures and effectively amending them to ensure compliance with the new requirements under the proposed Regulation
• Learning from the best practices of Data Protection compliance framework
• Managing, enforcing and monitoring third-party compliance

Michelle Levin, EMEA Privacy Council, AON
Maciej Hajewski, Chief Risk and Compliance Officer, AEGON
Gavin Siggers, Senior Manager Information Governance, PwC

Close of proceedings
Data Protection & Privacy in the Financial Services Sector

Friday 12 June 2015

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Provisional bookings can be made by completing the registration form and faxing it to us. Your place will be confirmed on receipt of payment.

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**WEBSITE:** www.cityandfinancialconferences.com/DataProtection2015

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Delegates cancelling 15 days or more before the event will receive a refund less a 10% administration fee (waived if you elect to buy the conference documentation). Cancellations received between 14 and 7 days before the event will be entitled to a 50% refund of the conference fee, and will receive a copy of all conference documentation. Cancellations received 6 days or less before the event will receive no refund but will receive a copy of all conference documentation. Delegates can be substituted at any time prior to the event; notification of cancellations or substitutions must be received in writing (an email or fax is acceptable). Non-attendance, or non-payment does not constitute cancellation. If payment has not been made prior to the event, a full charge will still apply.

**VENUE**

PricewaterhouseCoopers LLP 1 Embankment Place London WC2N 6HR Tel: 020 7583 5000 Website: www.pwc.co.uk

**ACCOMMODATION**

Details of nearby accommodation may be found on the venue’s website

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